

REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

(Not for Provisional or PCT cases)

Asst. Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL

PATENT
APPLICATION

1c511 U.S. PAT. 09/282422 03/31/99

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): NAKAGAWA, et al.

(Our Deposit Account No. 03-3975)

Our Order No. 41194 258714
C# M#

Title AIR CONDITIONER FOR A VEHICLE

Atty. Dkt.: PMS 258714 TYF-97232
M# Client Ref

including:

Date: March 31, 1999

1. Specification: 55 pages (only spec. and claims)
2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 8 numbered claims
- 3 (a). ☒ Drawings: 12 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11" ☐ 13"
4. **AMEND the specification** please by inserting before the first line: — This is a ☐ Continuation-in-Part
☐ Divisional ☒ Continuation ☐ Substitute Application (MPEP 201.09) of:
- 4(a) ☐ National Appln. No. / filed (M#)
- 4(b) ☒ International Appln. No. PCT/JP97/03505 filed October 1, 1997 which designated the U.S.
5. ☐ See top first page re continuing application ("X" box only if information is there)
6. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

8. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

9.

Application No.	Filing Date	Application No.	Filing Date
(1) 8-261016	October 1, 1996	(2) 9-5709	January 16, 1997
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

10. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____

11. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

12. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/JP97/03505	October 1, 1997	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

13. ☒ Attached: Preliminary Amendment, Form PTO-1449 citing enclosed documents for which see the herewith ISR, IPE Written Opinion and Response, corresponding WO 98/154204 and Drawing Change Authorization Request.

14. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

15. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
16. Basic Filing Fee				\$760/\$380	\$760	101/201
17. Total Effective Claims	8	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
18. Independent Claims	4	minus 3 =	*1	x \$78/\$39 =	+ 78	102/202
*If answer is zero or less, enter "0"						
19. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 0	104/204
20. TOTAL FILING FEE ENCLOSED =					\$838	
21. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
22. If "assignment" box 6 is X'd, add recording fee				+ \$40	+ 40	581
23. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
24. TOTAL FEE ENCLOSED =					\$878	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

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By Atty: G. Lloyd Knight

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of
Tadashi NAKAGAWA and
Takayoshi MATSUNO

Group Art Unit: Unknown

Appln. No.: Unassigned

Examiner: Unknown

Filed: Herewith

FOR: AIR CONDITION FOR A VEHICLE

* * * * *

Date: March 31, 1999

DRAWING CHANGE AUTHORIZATION REQUEST

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

Please cancel Figs. 5, 6, 7 and 8 and change Figs. 9, 10, 11A, 11B, 11C and 12 to Fig.
5, 6, 7A, 7B, 7C and 8, respectively, as shown in red on the herewith copies of Figs. 5-12.

Respectfully submitted,

PILLSBURY MADISON & SUTRO LLP

By



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